

DUE DILIGENCE REQUEST

To be completed if a CIS & Company Profile cannot be provided.

All information is strictly confidential.

Why you have received this document

You are either introducing, or intend to introduce, a buyer or supplier of goods or services for the purpose of receiving a fee or reward, which will be facilitated by CGP (Capital Gateway Partners) or a party/entity connected to CGP. Your information will be handled in accordance with Australia's strict privacy protection laws.

Why We Require This Information

At Capital Gateway Partners Pty Ltd (CGP), we are committed to complying with Australian regulatory requirements under the Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Act 2006, enforced by AUSTRAC.

As a responsible business in the international commodity market, CGP is legally obligated to collect, verify, and maintain accurate information about our customers to prevent fraud, money laundering, and other illegal activities.

This process is a critical component of our operations, ensuring transparency and security in all transactions. By completing this KYC form, you help us adhere to these regulatory standards, which protects both our business and our valued clients. All information provided will be treated with the utmost confidentiality and used solely for compliance purposes.

Your Role in the Process

As a customer who wishes to engage with CGP, we expect your full cooperation in this standard procedure. Providing accurate and complete information allows us to continue fostering a trusted environment for business and ensures you can seamlessly engage in transactions with CGP.

This process is not only a legal obligation but also serves as a safeguard for all parties involved in the global commodity trade and those who wish to be paid for involvement with a transaction.

Does this affect my payment, commission or fees?

No. Providing information that verifies your identity will in no way affect disbursements payable. We do not collect information on behalf of, or with any intent to share with:

- Government agencies
- Taxation departments
- Public or Private Entities outside of CGP
- Any banking information you provide to CGP, to receive payments, will be kept strictly confidential.

What if I do not wish to provide information that identifies me or my business?

Capital Gateway Partners will not engage with anonymous persons or entities. Whilst you may be certain that you are making legitimate representations, we will not expose our network to unacceptable risk.



ASIC
Australian Securities &
Investments Commission



First AML

Capital Gateway Partners Pty Ltd complies with all applicable global anti-money laundering (AML) regulations. We utilise First AML as one of our trusted providers to ensure adherence to these standards. All transactions and clients are subject to monitoring and verification under our AML procedures.

THIS DOCUMENT CONTAINS ANTI-FRAUD IMAGERY TO PREVENT COUNTERFEIT & COPYING.

Please ONLY accept receipt of this document from an @capitalgateway.com.au domain. This document must not be accepted from any alternate email domain name under any circumstances whatsoever. Capital Gateway Partners will never contact you from any other email address or domain name.

Capital Gateway Partners

1300 00 5559

0488 888 819

www.capitalgateway.com.au

Customer Identification Information

Please complete all sections below. All information will be verified.
Failure to provide accurate or complete information may result in delays or the inability to engage with CGP.

1. Personal Information (Representatives / Brokers / Introducers)

Full Legal Name	
Residential Address	
Telephone Number	
Email Address	

2. Business Information

Legal Entity Name:	
Trading Name (if applicable):	
Registration Number:	
Provide a link to the online verification (Must be a Government site direct link)	
Registered Business Address:	
Contact Person Email:	
Country of Incorporation:	
Contact Person Name:	
Contact Person Role/Title:	
Contact Person Telephone:	

3. Identification Documents (Please attach copies or email attachments)

For Individuals:

- A government-issued ID (e.g., passport, driver's license)

For Corporates/Entities: (If a link has not been provided above)

- Certificate of Incorporation
- Business registration documents
- Ownership structure (including Ultimate Beneficial Owner (UBO) information)
- A government-issued ID of the UBO(s) and any signatories



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4. Politically Exposed Person (PEP) Declaration

Are you, or any beneficiary of fees, a politically exposed person (PEP)?

- Yes

- No

If yes, please provide details:

5. Declaration

I hereby declare that the information provided above is accurate and true to the best of my knowledge. I understand that CGP may request additional information or verification documents as part of this process.

- Full Name:

- Signature:

- Date:

Please submit the completed form and the required identification documents.



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